

# **Major Violations**

Implementation Date: 10/01/2010

Any standard listed below will be scored as a “major” violation without exception:

## **Section 2: Program Management**

1. 201 B (5) Current malpractice and liability insurance
2. 202 C (2) Criminal and abuse/neglect background check for agencies serving adolescents
3. 202 D (1) c. Professional license, registration, certification &/or AAPS credential
4. 202 F (1&2) Residential treatment program – TB testing

## **Section 4: Quality Improvement Systems**

1. 401 C (1&2) Incident Reports (Reports made to AAPS in required time frame)

## **Section 5: Accessibility, Environment and Transportation**

1. 502 A (1&2) Disaster Plans
2. 502 C (3&6) Environmental Issues
3. 502 D (1&3 thru 9) Environmental for Residential Programs
4. 504 A (3, 4, 6, &11) Transportation

## **Section 6: General Program Standards**

1. 601 A, B Client Rights (form)
2. 602 A & C (1 & 3) Confidentiality
3. 609 A (2), B (1-4), F (1-3, 5) Accountability and Medication Control

## **Section 7: Alcohol and Other Drug Treatment Services**

1. 705 C & D 24-Hour Staffing & Min. Hours of Treatment (review of schedules)
2. 706 C & D Therapeutic Community 24-Hour Staffing & Min. Hours of Treatment (review of schedules)
3. 707 C & D Reintegration 24-Hour Staffing & Min. Hours of Treatment (review of schedules)
4. 708 C, D & E Social Detox 24-Hour Staffing (review of schedules), CPR/First Aid Training & monitoring Withdrawal
5. 709 B, C & E Inpatient 24-Hour Staffing & Min. Hours of Treatment (review of schedules)
6. 710 B (1-4) Acute Detox Staffing and Treatment Requirements (review of schedules)
7. 711 G Opioid Maintenance Client/Staff Ratio

The following standards in Section 6 and 7 will be scored as “major” violations if 50% of the total client files reviewed are deficient:

1. 601 C (2) Client Rights
2. 603 B (1, 2 a, 3, 6 & 19c) Client Case Record
3. 604 A (1b, 2, 3 & 4) Progress Notes
4. 605 C (1-15) Assessment
5. 605 D (1&2) Assessment
6. 606 A & B, C, & D Treatment Planning and Updates
7. 608 A & B (2 & 3) Discharge Documentation
8. 609 A (7 & 8) Accountability and Medication Control
9. 704 C IOP Minimum Hours of Treatment