



Robert G. Bethell Joint Committee on Home and Community Based
Services and KanCare Oversight: KDADS Update
February 15, 2019

Agenda

- Home and Community Based Services
- Long-Term Care
- Behavioral Health
- Receiverships
- State Hospitals

HOME AND COMMUNITY BASED SERVICES (HCBS)

HCBS Waiver Enrollment – December 2018

HCBS Program	Number of People Eligible to Receive HCBS Services		Number of People on Wait List	Number of Proposed Recipients
	Without MFP	MFP		
Autism	52			274 (as of 12/31/2018)
Serious Emotional Disturbance (SED)	3,344			
Technology Assisted (TA)	551			
Frail Elderly (FE)	4,633	0		
Traumatic Brain Injury (TBI)	386	0		
Intellectual and Developmental Disabilities (I/DD)	9,076	0	3,911	
Physical Disability (PD)	5,800	7	1,527	

Notes:

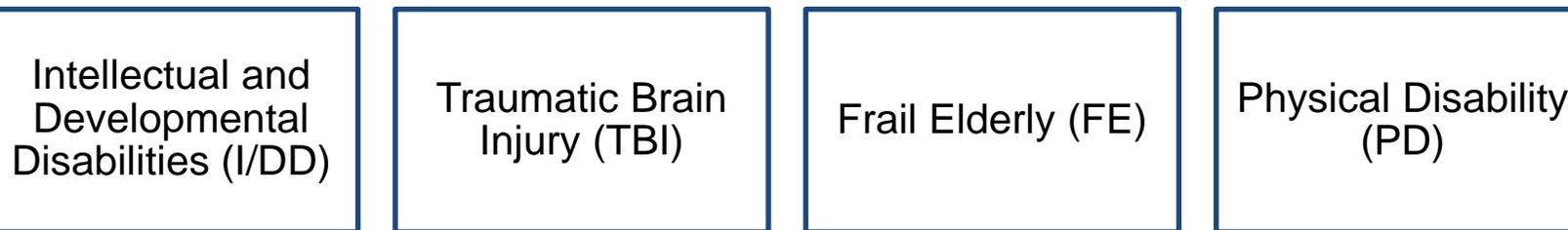
- Data as of January 10, 2019
- MFP is Money Follows the Person
- The HCBS Monthly Summary is posted under Monthly Waiver Program Participation Reports at [http://kdads.ks.gov/commissions/home-community-based-services-\(hcbs\)](http://kdads.ks.gov/commissions/home-community-based-services-(hcbs))

Current Efforts to Reduce the Waiting List

HCBS Program	Number of People Eligible to Receive HCBS Services	Number of People on Wait List	Offers
Intellectual and Developmental Disabilities (I/DD)	9,076	3,911	150 offers made in CY 2018
Physical Disability (PD)	5,800	1,527	1,175 offers made in CY 2018

HCBS Waiver Renewals

- Four HCBS waivers are scheduled to renew in 2019:



- The draft renewals submitted to CMS for I/DD and TBI are available online: [https://kdads.ks.gov/commissions/home-community-based-services-\(hcbs\)](https://kdads.ks.gov/commissions/home-community-based-services-(hcbs))

PD and FE Waiver Renewal Upcoming Public Comment

- The PD and FE Waiver initial submissions are due to CMS by July 1, 2019.
- Stakeholder engagement for the PD and FE Waiver renewals is being planned for the Spring.

Program of All-Inclusive Care for the Elderly (PACE)

- The Program of All-Inclusive Care for the Elderly (PACE) is designed to promote the provision of quality comprehensive health services for older adults. The primary care physicians and interdisciplinary team of professionals provide and coordinate all services for participants providing a “one-stop shop” for all healthcare needs.
- To be eligible for PACE, individuals must be 55 years of age or older and live in a county currently serviced by a PACE organization (see next slide). Individuals must also be certified to need a nursing home level of care.
- The PACE program serves about 555 individuals.

Program of All-Inclusive Care for the Elderly (PACE)

Via Christi Hope

- Sedgwick

Midland Care

- Douglas
- Jackson
- Jefferson
- Leavenworth
- Lyon
- Marshall
- Nemaha
- Osage
- Shawnee
- Pottawatomie
- Wabaunsee
- Wyandotte

Bluestem Communities

- McPherson
- Ottawa
- Lincoln
- Ellsworth
- Saline
- Dickinson
- Rice
- Marion
- Reno
- Harvey

Administrative Case Management

- In response to the budget passed by the 2018 Kansas Legislature, KDADS issued a Request for Proposals from contractors

Services

- Provide eligibility and enrollment assistance
- Work with State partners to enhance ability to access timely HCBS and Program for All-Inclusive Care for the Elderly (PACE) services

Population

- TBI, PD, FE and PACE who have met functional eligibility requirements

Timeline

- Dec. 10, 2018: RFP Posted
- January 24, 2019: Proposals Due
- February 2019: Technical Evaluation of Proposals
- Spring 2019: Services Available to Individuals

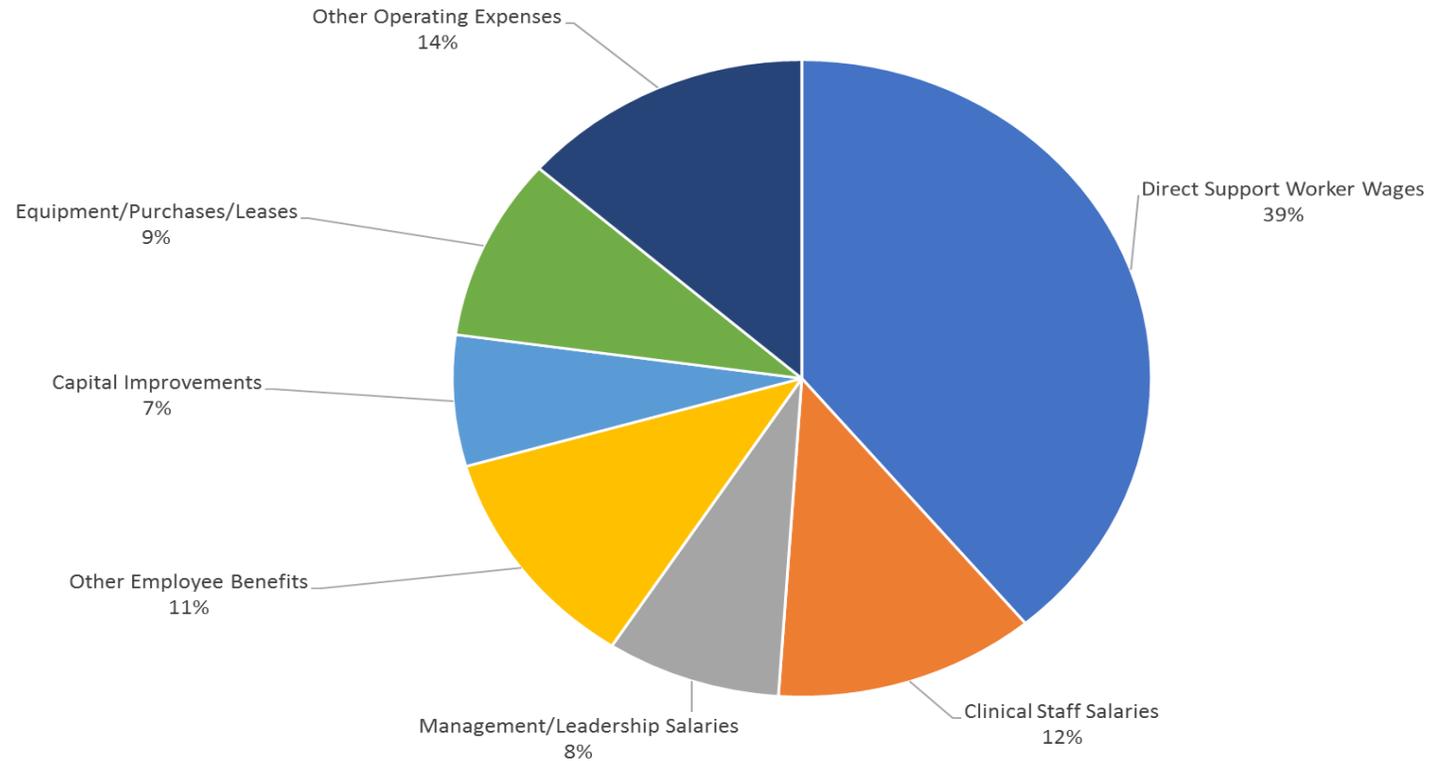
Aging and Disability Resource Centers (ADRCs)

- KDADS is issuing a Request for Proposals from contractors since current ADRC contract ends in March 2019

Services	Population	Timeline
<ul style="list-style-type: none"> • Trusted source of information and assistance in planning for future long-term service and support needs 	<ul style="list-style-type: none"> • Medicaid beneficiaries of all ages, abilities and income levels • Caregivers of Medicaid beneficiaries 	<ul style="list-style-type: none"> • <u>December 17, 2018</u>: RFP Posted • <u>February 19, 2019</u>: RFP proposals due • <u>March</u>: Award Contract(s) • <u>Spring 2019</u>: Services Available to Individuals

HCBS Provider Rate Increase for FY 2018 and FY 2019

SFY 18 and SFY 19 HCBS Rate Increases Areas of Expenditure *



*Results based on a survey of 237 responding HCBS providers.

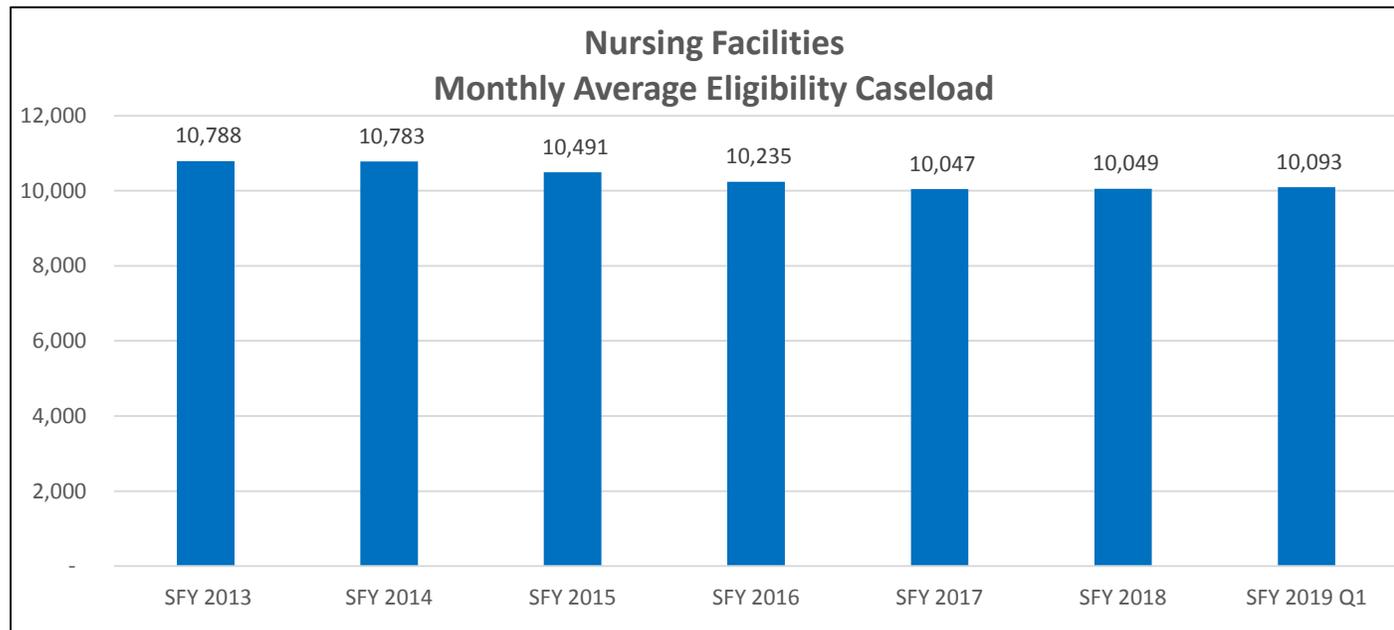
CMS Corrective Action Plan (CAP)

- KDADS and KDHE have completed the operational items under the 372 CAP, such as:
 - Updated Adverse Incident Reporting System
 - Implemented Person-Centered Service Planning Policy
- CAP will remain open to meet monitoring requirements, and the State and CMS will continue to have periodic check-ins

LONG-TERM CARE

Average Census for State Institutions and Long-Term Care Facilities

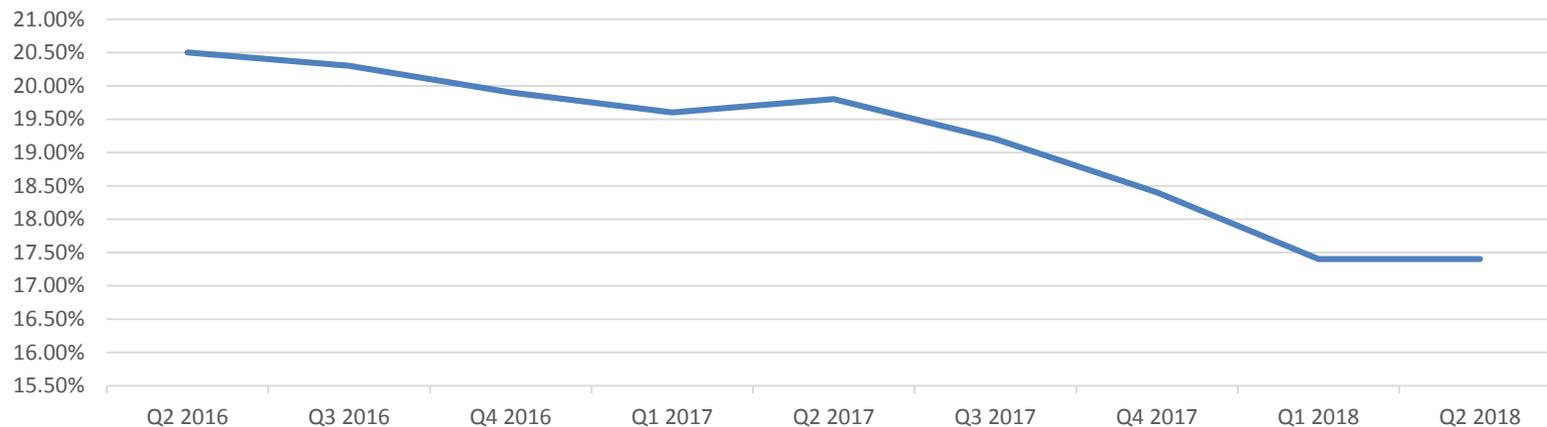
- The monthly Medicaid average eligibility caseload for nursing facilities has remained steady



Kansas is Making Progress in Reducing the Use of Anti-Psychotic Drugs in Nursing Homes

- In 2011, Kansas ranked 51st in the nation in the use of anti-psychotic drugs in nursing facilities
- Kansas now ranks 42nd in the nation and expects to continue to show improvement based on the activities undertaken

Percent of Long-Term Stay Nursing Home Residents Receiving Antipsychotic Medications*



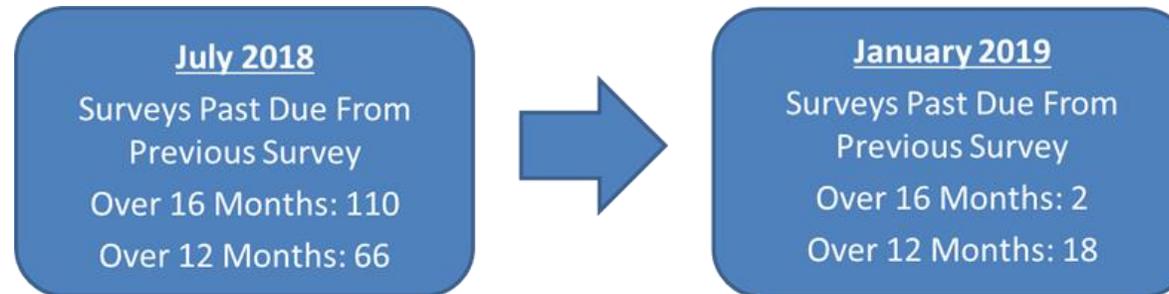
*Excludes residents diagnosed with schizophrenia, Huntington's Disease, or Tourette's Syndrome

Source: National Partnership to Improve Dementia Care in Nursing Homes: Antipsychotic Medication Use Data Report (October 2018)

https://www.nhqualitycampaign.org/files/Antipsychotic_Medication_Use_Report.pdf

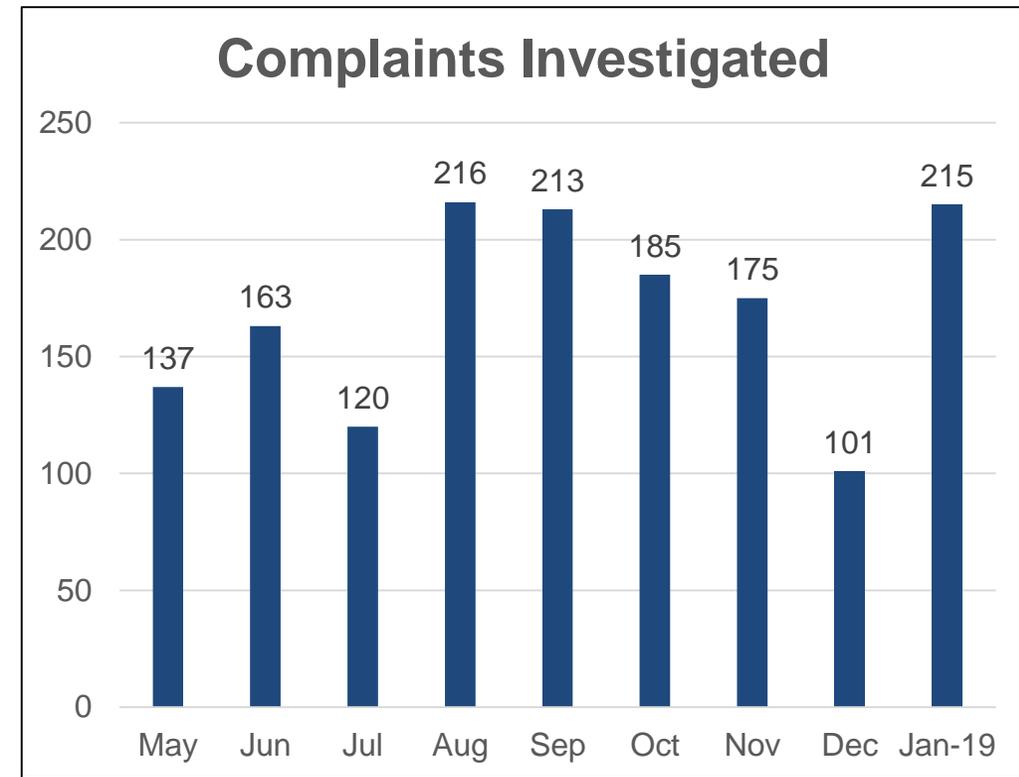
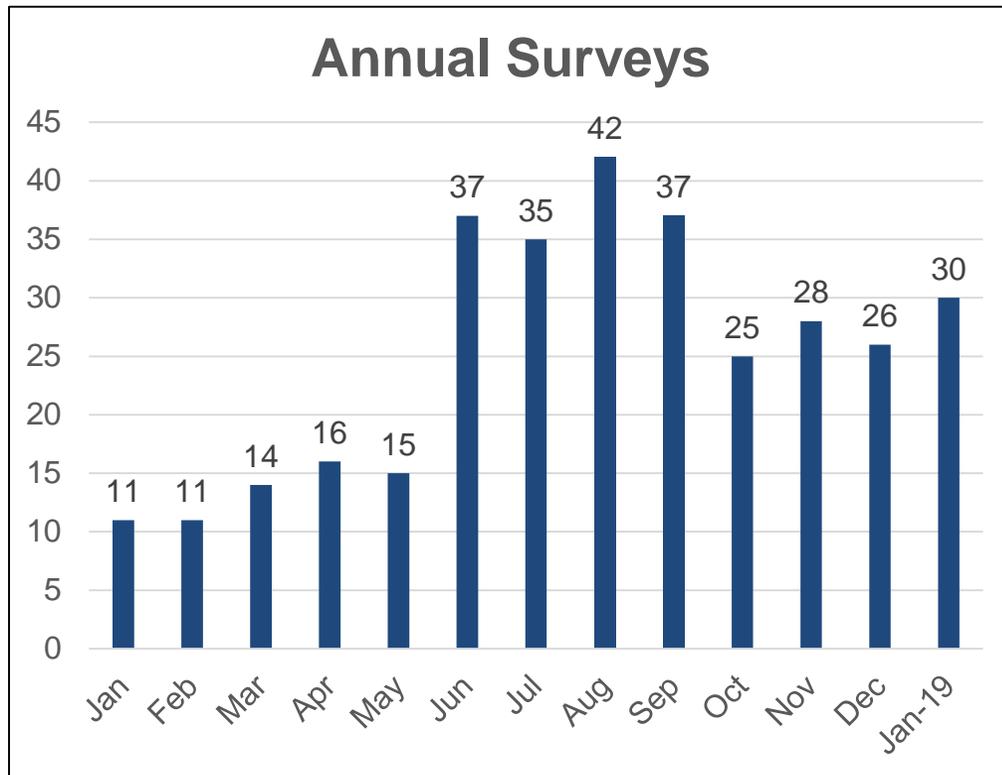
Long-Term Care Survey Update

- Survey and Certification licenses approximately 350 nursing facilities and approximately 350 assisted living, residential health care, home plus and adult day care facilities
- CMS requires KDADS to conduct standard surveys of all skilled nursing facilities (SNF) and nursing facilities (NF) no later than 15.9 months after the previous standard survey, with a statewide average of 12.9 months.



- KDADS Certified RN surveyors received a salary increase from \$48,484.80 to approximately \$53,000 annually as a result of the budget enhancement in FY2018.

Long-Term Care Surveys Completed in 2018



Long-Term Care Survey Update

Year	Total SNF/NF Immediate Jeopardy Citations
2013	28
2014	43
2015	60
2016	133
2017	128
2018	72

Long-Term Care Survey Update

KDADS Current Surveyor Position Authorized Full-Time Equivalents (FTEs) (2/1/2019)

	Total Positions	# Vacant Positions
Certified Facilities – Nursing Homes (Medicare/Medicaid)		
Regional Managers	4 FTE	2 FTE
Quality Improvement	4 FTE	1 FTE
Health Facility Surveyors	58 FTE	12 FTE
State Only Surveyors		
Regional Manager	1 FTE	0 FTE
Health Facility Surveyors	7 FTE	0 FTE

Criminal Record Checks

- National Fingerprint-Based Background Check Bill (Passed 2018)
- Changes to law prohibited offenses to allow for quicker processing time
- Number of criminal record checks has increased after new law requiring them for HCBS and BH

Timeframe	Total Criminal Record Checks
2015 (Prior to HCBS Requirement)	38,033
2016 (HCBS Law Passed)	43,421
2017 (Memo Dated 3/17 Deadline 7/1/17)	63,514
2018	69,222

BEHAVIORAL HEALTH

Medical Necessity Audits

- KDADS contracted with the Kansas Foundation for Medical Care to complete the audits:
 - KFMC has over 45 years' experience in conducting medical review services to federal, state and private customers
- Cases have been reviewed and a determination made by KFMC peer reviewer who is board certified in the specialty of psychiatry and maintains an active practice

Medical Necessity Audit

- The audit results were:
- Of the determinations reviewed, 100% were upheld as appropriate by KFMC’s validation team and based on these findings, no additional medical necessity reviews were required, nor were improvement opportunities identified for the review process deployed by both KDHE and KDADS.

Type of Stay	Status	# of Cases
Initial Stay	Denied	16
Continued Stay	Denied	4
Initial Stay	Approved	10
Continued Stay	Approved	10
Total		40

Psychiatric Residential Treatment Facilities (PRTF): Wait List (as of February 4, 2019)

	Number of Members on Wait List
Aetna	50
Sunflower	45
United	35
Total	130

National Study on PRTFs

- National Association of State Mental Health Program Directors Research Institute (NRI) is completing a study regarding PRTFs:
 - Conduct a data and trend analysis on PRTF bed utilization and waiting lists
 - Review of policies and procedures related to admission/placement process

RECEIVERSHIPS

Receivership Statute: K.S.A. 39-954

- Allows the Secretary to file an application for an order appointing her as the receiver to operate an adult care home whenever:
 - Conditions exist in the adult care home that are life threatening or endangering to the residents of the adult care home,
 - The adult care home is insolvent, or
 - The Secretary has issued an order revoking the license of the adult care home.

List of Skyline Receivership Facilities

Chase County Care & Rehabilitation Center,
Cottonwood Falls,
Crawford County

Downs Care & Rehabilitation Center,
Downs, Osborne
County

Edwardsville Care & Rehabilitation Center,
Edwardsville,
Wyandotte County

El Dorado Care & Rehabilitation Center,
El Dorado, Butler
County

Eskridge Care & Rehabilitation Center,
Eskridge, Wabaunsee
County

Kaw River Care & Rehabilitation Center,
Edwardsville,
Wyandotte County

Lansing Care & Rehabilitation Center,
Lansing, Leavenworth
County

Neodesha Care & Rehabilitation Center,
Neodesha, Wilson
County

Parkway Care & Rehabilitation Center,
Edwardsville,
Wyandotte County

Pittsburg Care & Rehabilitation Center,
Pittsburg, Crawford
County

Spring Hill Care & Rehabilitation Center,
Spring Hill, Johnson
County

Wakefield Care & Rehabilitation Center,
Wakefield, Clay
County

Wellington Care & Rehabilitation Center,
Wellington, Sumner
County

Wichita Care & Rehabilitation Center,
Wichita, Sedgwick
County

Wilson Care & Rehabilitation Center,
Wilson, Ellsworth
County

Skyline Receivership

- \$2.8 million returned to Civil Monetary Penalty (CMP) funds
- KDADS continues to meet with landlord to discuss efforts to locate a new operator(s) for the 15 facilities

List of Pinnacle Receivership Facilities

Village Villa
Village Villa Estates
Nortonville, Jefferson
County

Providence Living
Center
Topeka, Shawnee
County

Flint Hills Care
Center
Emporia, Lyon
County

Pinnacle Receivership

- Receiverships filed between August 31, 2018 and September 5, 2018
- Secretary has been appointed as Receiver and the cases were consolidated into one action in Shawnee Co.
- The properties are being marketed for sale

Fort Scott, Great Bend, Westview of Derby Receiverships

Fort Scott

- Receivership completed
- Majority of CMP funds to be returned to KDADS

Great Bend

- Omega, the real-estate owner is currently working on locating a new Operator

Westview of Derby

- Receivership filed and Secretary appointed as Receiver
- In the process of transitioning to a private receivership action

STATE HOSPITALS

Osawatomie State Hospital – State Hospital Weekly Vacancy Rate

Hospital & Position	Week January 30, 2019 - February 6, 2019				
	FTE's	Filled (Include FMLA, W/C)	Vacant (No Incumbent)	Total of Filled + Vacant = FTE's	Vacancy Rate %
OSH					
Safety & Security Officer	30	27	3	30	10.0%
Physician	16	16	0	16	0.0%
RN	72	55	17	72	23.6%
RN Temp (PRN)	24	7	17	24	70.8%
LPN	12	10	2	12	16.7%
LPN Temp	20	2	18	20	90.0%
LMHT	18	16	2	18	11.1%
MHDD Technician	133	111	22	133	16.5%
MHDD Technician- Temp	13	9	4	13	30.8%

Break Down For Week January 30, 2019 - February 6, 2019						
On Leave (FMLA; W/C No Vacations)	Terms	Hires	Have not completed the Application Process	Ready to be set up for Interview	Interviewe d Offer Pending	Offer Made & Accepted
0	0	0	2	2	0	0
0	0	0	0	1	0	0
1	0	0	1	1	0	2
0	0	0	0	0	1	0
0	0	0	0	0	0	0
0	0	0	0	0	0	0
0	0	0	0	0	0	0
0	0	0	0	0	0	0
2	2	0	2	3	3	4
0	0	0	0	0	0	0

Agency Staff	Current Headcount	Hired	Hiring in Progress	Total Percentage to Vacancy
RN's	17	0	0	0.2%
LPN's	7	0	0	0.6%
CNA's	0	0	0	0.0%

Turnover Rate (percentage) For Week January 30, 2019 - February 6, 2019	
Positions on this Report	All Hospital Positions
4.9%	2.7%

Overall Average Vacancy Rate (percentage)
All Hospital Positions
19.5%

Larned State Hospital – State Hospital Weekly Vacancy Rate

Hospital & Position	Week January 30, 2019 - February 6, 2019				
LSH	FTE's	Filled (Include FMLA, W/C)	Vacant (No Incumbent)	Total of Filled + Vacant = FTE's	Vacancy Rate %
Safety & Security Officer	79	63	16	79	20.3%
Physician	14	10	4	14	28.6%
RN	121	58	63	121	52.1%
RN Temp (PRN)	21	21	0	21	0.0%
LPN	67	14	53	67	79.1%
LPN Temp	13	3	10	13	76.9%
LMHT	14	5	9	14	64.3%
MHDD Technician	386	252	134	386	34.7%
MHDD Technician- Temp	41	27	16	43	39.0%

Break Down For Week January 30, 2019 - February 6, 2019						
On Leave (FMLA; W/C No Vacations)	Terms	Hires	Have not completed the Application Process	Ready to be set up for Interview	Interviewed Offer Pending	Offer Made & Accepted
1	0	2	1	1	0	0
0	0	0	0	0	0	0
13	0	0	1	3	2	0
0	0	0	0	0	0	0
1	1	0	1	0	0	0
0	0	0	0	0	0	0
1	0	0	0	0	0	0
35	1	1	4	9	6	0
0	5	2	0	0	0	0

Agency Staff	Current Headcount	Hired	Hiring in Progress	Total Percentage to Vacancy
RN's	12	0	0	0.1%
LPN's	16	0	0	0.2%
CNA's	18	0	0	0.0%

Turnover Rate (percentage) For Week January 30, 2019 - February 6, 2019	
Positions on this Report	All Hospital Positions
2.0%	1.5%

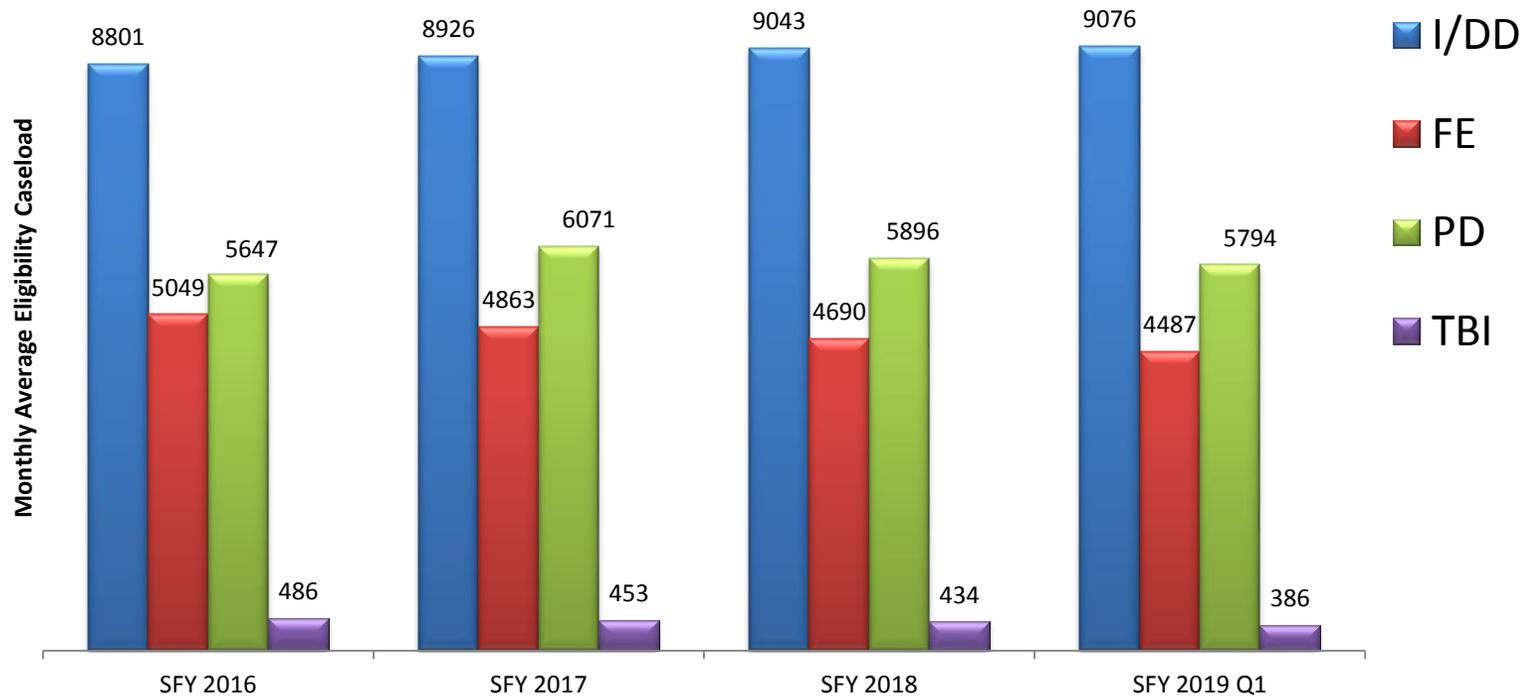
Overall Average Vacancy Rate (percentage)
All Hospital Positions
44.0%

State Mental Health Hospitals: Overtime Trends

FY 19 Pay Period End	Larned			Osawatomie			Total MH Hospitals Average		
	Hours of Overtime	Percent Δ From Last Report	Cost of Overtime	Hours of Overtime	Percent Δ From Last Report	Cost of Overtime	Average Hours of Overtime	Average Percent Δ From Last Report	Average Cost of Overtime
07/14/18	6,609.50	0.82%	\$175,347.67	2,214.75	39.51%	\$67,829.30	4,412.13	20.17%	\$121,588.49
07/28/18	6,086.50	-7.91%	\$164,450.91	1,797.50	-18.84%	\$53,485.74	3,942.00	-13.38%	\$108,968.33
08/11/18	6,051.00	-0.58%	\$163,539.08	1,900.25	5.72%	\$59,055.80	3,975.63	2.57%	\$111,297.44
08/25/18	6,079.50	0.47%	\$163,902.30	1,801.00	-5.22%	\$56,101.24	3,940.25	-2.38%	\$110,001.77
09/08/18	6,127.00	0.78%	\$165,375.34	2,276.25	26.39%	\$64,365.53	4,201.63	13.58%	\$114,870.44
09/22/18	6,013.00	-1.86%	\$162,634.24	1,841.10	-19.12%	\$54,315.38	3,927.05	-10.49%	\$108,474.81
10/06/18	6,285.00	4.52%	\$171,740.79	1,495.50	-18.77%	\$44,621.01	3,890.25	-7.12%	\$108,180.90
10/20/18	5,781.80	-8.01%	\$160,648.30	1,698.25	13.56%	\$50,268.75	3,740.03	2.78%	\$105,458.53
11/03/18	6,383.00	10.40%	\$174,744.05	2,034.50	19.80%	\$60,673.70	4,208.75	15.10%	\$117,708.88
11/17/18	6,802.25	6.57%	\$183,854.99	2,221.75	9.20%	\$63,708.16	4,512.00	7.89%	\$123,781.58
12/01/18	7,070.75	3.95%	\$182,455.30	2,650.50	19.30%	\$75,376.39	4,860.63	11.62%	\$128,915.85
12/15/18	6,221.50	-12.01%	\$160,904.99	2,088.75	-21.19%	\$57,715.32	4,155.13	-16.60%	\$109,310.16
12/29/18	6,200.00	-0.35%	\$160,832.73	2,916.25	39.62%	\$79,554.77	4,558.13	19.64%	\$120,193.75
Σ Total	81,710.80	-3.21%	\$2,190,430.69	26,936.35	89.95%	\$787,071.09	54,323.58	43.37%	\$1,488,750.89
Σ Average	6,285.45	-0.25%	\$168,494.67	2,072.03	6.92%	\$60,543.93	4,178.74	3.34%	\$114,519.30

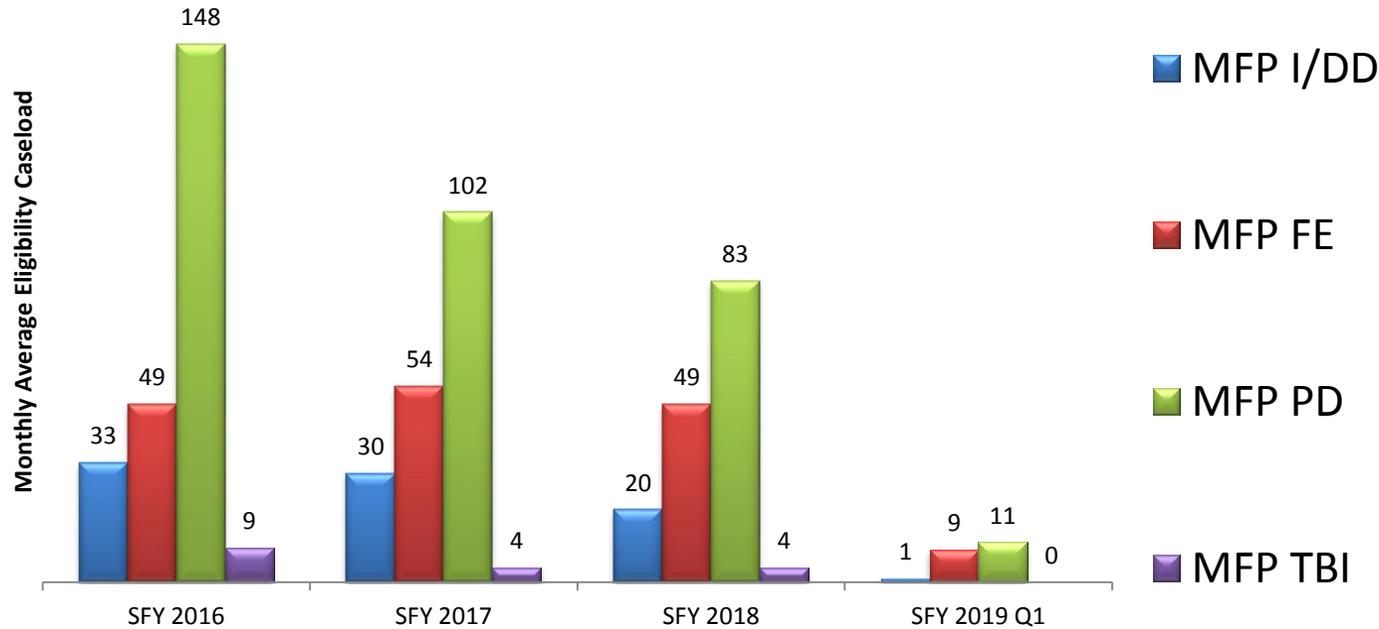
APPENDIX

Average Monthly Caseload for HCBS



- Caseload is consistent across quarters and with prior years.

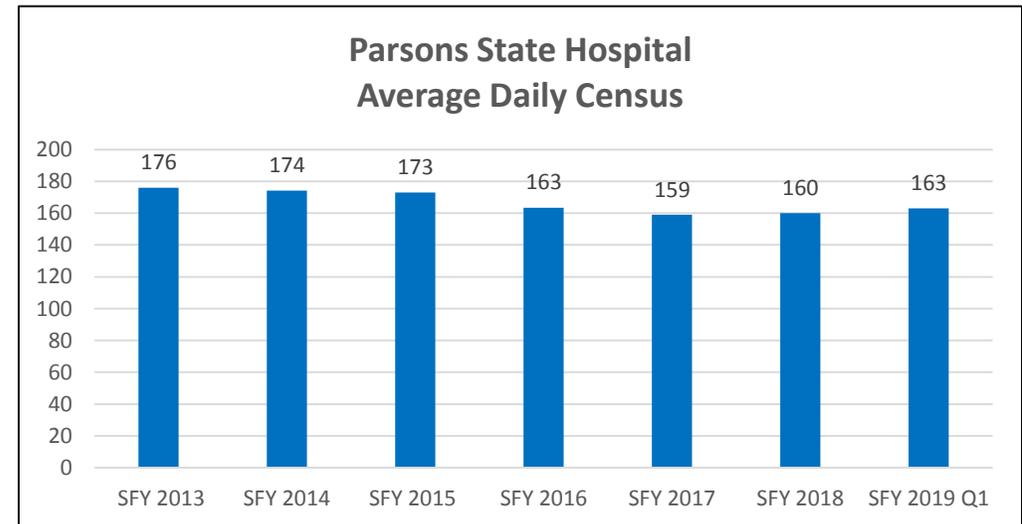
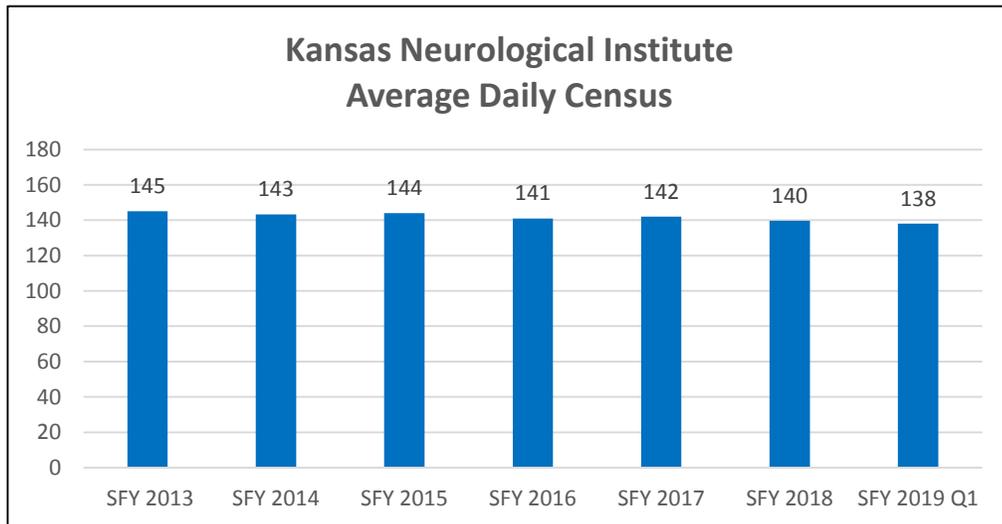
Average Monthly Caseload for HCBS Money Follows the Person (MFP)



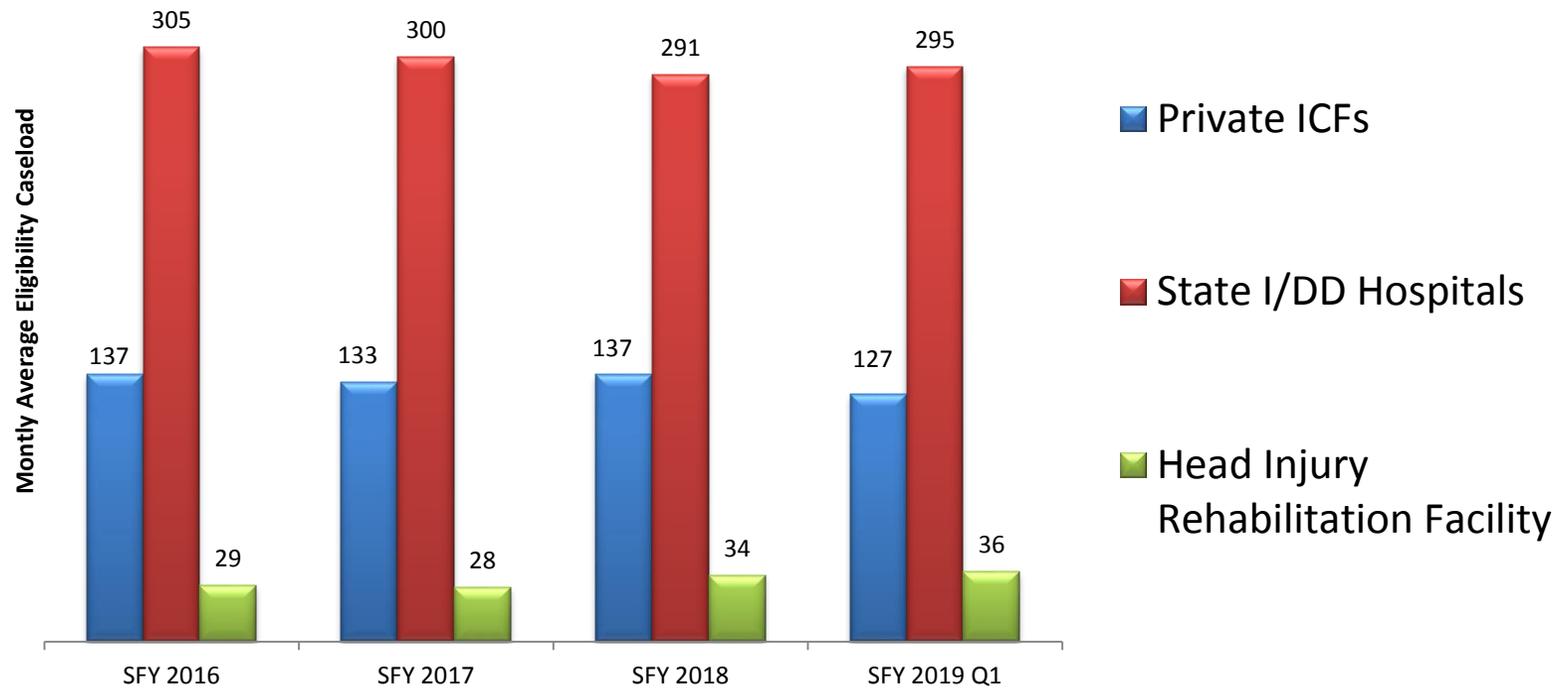
- Caseload has been decreasing in SFY18 as the Federal grant is winding down.
- Kansas stopped MFP transitions in July 2017.
- Individuals transitioning by that time have 365 days of MFP. After this period, they will be transitioned to the appropriate HCBS program.

Average Census for State Institutions and Long-Term Care Facilities

- Kansas Neurological Institute average daily census has remained steady
- Parsons State Hospital average daily census has decreased



Average Monthly Caseload for State Institutions and Long-Term Care Facilities



- Caseload is consistent across quarters and with prior years.

Questions?